



# Be a professional by completing the licensing programme in Zambia

#### Who is the CISI?

The Chartered Institute for Securities & Investment (CISI) is the leading global professional body for practitioners in financial services. Achieving a CISI qualification showcases your knowledge and commitment to excellence.

#### The CISI and SEC Zambia

The CISI and the Securities and Exchange Commission Zambia (SEC Zambia) have been working in partnership to create a licensing programme with the aim of supporting capital markets practitioners and ensure the highest standards of professionalism within the sector. The second stage of the licensing programme features the Zambia Regulatory Assessment which has been designed bespoke to local laws and regulations.

#### Complete the licensing programme in two steps

## Step 1: International Introduction to Securities & Investment

This qualification helps to build a strong understanding of financial services with a specific focus on investments from a global perspective.

#### **Topics**

- Economic environment
- Financial assets and markets
- Equities, bonds and derivatives
- Investment funds
- Financial services regulation
- Other financial products

Find out more on **cisi.org/introafrica** 

\*Price with 50% discount: £115.50

+ Qualification Registration Fee £18.50

#### Step 2: Zambia Regulatory Assessment

Following on from completing step one, this assessment will enhance your knowledge further with key teachings for the Zambian capital markets.

#### **Topics**

- Legal and regulatory framework
- Registration, licensing, authorisation and recognition of self-regulating organisations
- SEC enforcement powers
- Conduct of business
- Accounting and financial rules
- Markets and trading rules
- Takeovers and mergers
- Collective investment schemes
- Other investment fund vehicles

\*Price with 50% discount: £53

#### What are the benefits of CISI qualifications?



**Designed by senior practitioners** from the sector to support you in your career.



Recognised globally to help you achieve a competitive edge over your peers.



Accessible exams that can be sat online via remote invigilation.

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Complimentary student membership for one year, giving you access to 1,000+ learning resources.

#### **Training**

With CISI exams you can choose to self-study using our online easy-access resources or choose the additional support of an Accredited Training Partner (ATP). Find your local ATP by visiting **cisi.org/atp**.

#### Membership with the CISI

As a CISI member you can access 1000+ learning resources which qualify for Continuous Professional Development (CPD) hours. Completing CPD showcases a commitment to excelling in your career and CISI learning resources can support your progression. These learning resources can be accessed at any time online and on your phone using the MyCISI Members App. Find out more on cisi.org/app.

#### What learning resources can you access with CISI membership?

### Online learning modules on Professional Refresher

Complete interactive eLearning modules on a range of technical and soft skill topics, such as:



Cryptocurrencies



Environmental, Social and Governance (ESG) Investina

#### On demand videos on CISI TV

As a member you can watch over 500 videos at a time that suits you. Here are some of our latest videos:



Presenting to win



Can gold and gold mining be sustainable?

#### Insightful articles on The Review

The CISI member-exclusive magazine The Review has a catalogue of thought-provoking articles, these are two recently published ones:



How to speak and be heard in meetings



How to get out of your comfort zone

#### Live webinars every month

Every month the CISI hosts live online webinars on a variety of topics including cryptocurrency, sustainable finance, presentation skills and much more, including events specific to African markets.

Explore some of the upcoming events on the events calendar **cisi.org/events** 

#### CISI mentoring scheme

The CISI has recently launched a mentoring scheme to help members connect with each other and support one another. As a member you can sign up to the scheme growing your professional network, discuss challenges you face and learn something new.

#### Be a professional by achieving a CISI qualification

To enrol please email us using the below details:



<u>certification@seczambia.org.zm</u>



SEC Zambia: <a href="mailto:tps://www.seczambia.org.zm/">tps://www.seczambia.org.zm/</a>

CISI: www.cisi.org

